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PATENT  
ATTY. DKT. NO. GE-120432  
#5  
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09-03-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David Collier et al.

Serial No.: 10/056,596

Filed: January 24, 2002

For: METHODS AND SYSTEMS  
FOR MANAGEMENT AND  
CONTROL OF AN  
AUTOMATION CONTROL  
MODULE

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: Art Unit: 2171  
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: Examiner:  
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Patrick W. Rasche, Reg. No. 37,916

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
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Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98.

- ☒ 1. A list of documents on Form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report or communication from a non-US patent office or an International Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

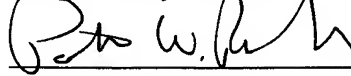
This paper is submitted in accordance with:

- ☒ 2. 37 CFR 1.97(b): [within three months of national, non-CPA filing, prior to first Office Action, on the merits, or prior to first office action after filing an RCE]

- ☐ 3. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☐ a. The required Certification made in item 5 below; or
- ☐ b. The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.
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- ☐ 5. Certification
- ☐ a. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
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This document is submitted in duplicate.

Respectfully Submitted,



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		Application Number	10/056,596
		Filing Date	January 24, 2002
		First Named Inventor	David Collier et al.
		Group Art Unit	2171
Examiner Name	Dorothy Basma	<b>RECEIVED</b>  <b>AUG 28 2003</b>  <b>Technology Center 2100</b>	
Attorney Docket Number	GE-120432		
Sheet	1	of	1

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant Of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	AA	5,038,318		Roseman	08-06-1991	
	AB	5,307,463		Hyatt et al.	04-26-1994	
	AC	5,797,037		Ecclesine	08-18-1998	
	AD	5,801,942		Nixon et al.	09-01-1998	
	AE	5,805,442		Crater et al.	09-08-1998	
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	AK	6,169,928 B1		Olson et al.	01-02-2001	
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FOREIGN PATENT DOCUMENTS								
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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
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